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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

**Katherine Grace August, et al.**

Application No.: **09/303,057**

Filed: **April 30, 1999**

Attorney Docket No. **3376/34**

For: **GRAPHICAL USER INTERFACE  
AND METHOD FOR MODIFYING  
PRONUNCIATIONS IN TEXT-  
TO-SPEECH AND SPEECH  
RECOGNITION SYSTEMS**

) GAU: **2741**

) Examiner: **Not Yet Assigned**

) INFORMATION DISCLOSURE  
) STATEMENT

**RECEIVED**

**SEP 23 2003**

**Technology Center 2600**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 19, 2003.

*14 September 2003*  
Date

*Diane A. Sears*  
Diane A. Sears

Sir:

Applicants submit herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

(a) ☐ accompanies the new patent application submitted herewith. 37 CFR § 1.97(a).

(b) ☐ is filed within three months after the filing date of the application within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.

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- (c) ☐ as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits.
- (d) ☒ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$230) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$130) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.
- (f) ☐ is filed before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (g) ☒ Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (h) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of the items on PTO-1449 (Modified) is supplied herewith:

(i) ☒ each (j) ☐ none (k) ☐ only those listed below:

A concise explanation of relevance of the items listed on form PTO-1449

(Modified) is:

- (l) ☒ not given
- (m) ☐ given for each listed item
- (n) ☐ given for only non-English language listed item(s) [Required]
- (o) ☐ is in the form of an English language copy of a Search Report or other action from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].

#### **REMARKS REGARDING CITED ART**

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

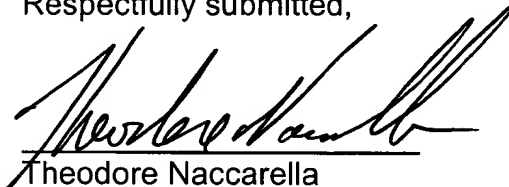
In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is

submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

[X] The Commissioner is hereby authorized to charge our Deposit Account No. 19-5425 for any fees required in connection with the filing of this Information Disclosure Statement. **A duplicate copy of this Notice is enclosed for this purpose.** In particular, in the event that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is authorized to charge the above-named deposit account for any fees required pursuant to CFR §§ 1.17(p) or 1.17(i)(1).

Dated: 9.19.03

Respectfully submitted,



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FOR PTO-1449 (MODIFIED)

LIST OF PATENTS AND OTHER  
PUBLICATIONS FOR  
INFORMATION DISCLOSURE STATEMENT  
(USE SEVERAL SHEETS IF NECESSARY)

U.S. Department of Commerce  
Patent and Trademark Office

ATTORNEY DOCKET NO.

3376/34

APPLICATION NO.

09/303,057

APPLICANT

K.G. August, et al.

FILING DATE

April 30, 1999

GROUP ART UNIT

2741

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION
	BA	WO 98 45834 A	10/15/1998	PCT	NO
	BB	EP 0 372 734	6/13/1990	EPO	NO

OTHER PUBLICATIONS

	CA	European Search Report for Application No. EP 00 30 3371, dated 9/3/2003
	CB	Document entitled, "XP-002071076 Dragon Speech Tool 1.0 Builds Custom Speaker-Independent Vocabularies for Speech Recognition" - Dragon Developer Series, 1996. URL: www.dragonsys.com

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

September 19, 2003